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TRANSMITTAL LETTER OF THE UNITED STATES DESIGNATED/ELECTED OFFICE (DO/EO/US) CONCERNING A FILING UNDER 35 U.S.C. 371		Attorney Docket No. 2001-1028 U.S. Application No. 10/089995
INTERNATIONAL APPLN. NO. PCT/NL99/00621	INTERNATIONAL FILING DATE 6 OCTOBER 1999	PRIORITY DATE CLAIMED
TITLE OF INVENTION: PROCESS FOR OBTAINING GROWTH FACTOR PREPARATIONS (TGF-BETA AND IGF-1) FROM MILK PRODUCTS HAVING LOW MUTUAL CROSS-CONTAMINATION		
APPLICANT(S) FOR DE/EO/US: MARINUS GERARDUS CORNELIS KIVITS, ANDOR WILHELM JOSEPH HENDRICKS, LEONARD FRANCISCUS MALLEE		
Applicant herewith submits to the United States Designated Elected Office (DO/EO/US) the following items and other information:		
<ol style="list-style-type: none"> 1. <input checked="" type="checkbox"/> This is a FIRST submission of items concerning a filing under 35 U.S.C. 371. 2. <input type="checkbox"/> This is a SECOND or SUBSEQUENT submission of items concerning a filing under 35 U.S.C. 371 3. <input checked="" type="checkbox"/> This is an express request to begin national examination procedures (35 U.S.C. 371(f)). The submission must include items (5), (6), (9) and (21) indicated below. 4. <input checked="" type="checkbox"/> The US has been elected by the expiration of 19 months from the priority date (Article 31). 5. <input checked="" type="checkbox"/> A copy of the International Application as filed (35 U.S.C. 371 (c)(2)) <ol style="list-style-type: none"> a. <input checked="" type="checkbox"/> is attached hereto (required only if not communicated by the International Bureau) b. <input type="checkbox"/> has been communicated by the International Bureau. See attached PCT/IB/308. c. <input type="checkbox"/> is not required, as the application was filed in the United States Receiving Office (RO/US). 6. <input type="checkbox"/> An English language translation of the International Application as filed (35 U.S.C. 371 (c)(2)) <ol style="list-style-type: none"> a. <input type="checkbox"/> is attached hereto b. <input type="checkbox"/> has been previously submitted under 35 U.S.C. 154(d)(4). 7. <input type="checkbox"/> Amendments to the claims of the International Application under PCT Article 19 (35 U.S.C. 371 (c)(3)) <ol style="list-style-type: none"> a. <input type="checkbox"/> are attached hereto (required only if not communicated by the International Bureau). b. <input type="checkbox"/> have been communicated by the International Bureau c. <input type="checkbox"/> have not been made, however, the time limit for making such amendments has NOT expired. d. <input type="checkbox"/> have not been made and will not be made. 8. <input type="checkbox"/> An English language translation of the amendments to the claims under PCT Article 19 (35 U.S.C. 371 (c)(3)). 9. <input type="checkbox"/> An oath or declaration of the inventor(s) (35 U.S.C. 371(c)(4)). 10. <input type="checkbox"/> An English language translation of the annexes of the International Preliminary Examination Report under PCT Article 36 (35 U.S.C. 371(c)(5)) 		
Items 11 to 20 below concern document(s) or information included:		
<ol style="list-style-type: none"> 11. <input checked="" type="checkbox"/> Information Disclosure Statement (IDS) w/PTO-1449 - <input checked="" type="checkbox"/> Copy of IDS citations 12. <input type="checkbox"/> Assignment Papers (cover sheet & document(s)) 13. <input checked="" type="checkbox"/> A FIRST Preliminary Amendment. 14. <input type="checkbox"/> A SECOND or SUBSEQUENT Preliminary Amendment. 15. <input type="checkbox"/> A substitute specification. 16. <input type="checkbox"/> A change of power of attorney and/or address letter. 17. <input type="checkbox"/> A computer-readable form of the sequence listing in accordance with PCT Rule 18. <input type="checkbox"/> A second copy of the published international application under 35 U.S.C. 154(d)(4) 19. <input type="checkbox"/> A second copy of the English language translation of the international application (35 U.S.C. 154(d)(4)). 20. <input checked="" type="checkbox"/> Other items or information: <u>International Search Report, Abstract of the Disclosure on a Separate Sheet, PCT/IPEA/409, Application Data Sheet</u> 		

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U.S. APPLICATION NO. 10/08 9995		INTERNATIONAL APPLN. NO. PCT/NL99/00621		ATTORNEY DOCKET NO. 2001-1028	
21. <input checked="" type="checkbox"/> The following fees are submitted:				CALCULATIONS PTO USE ONLY	
BASIC NATIONAL FEE (37 CFR 1.492 (a) (1)-(5):					
Neither international preliminary examination fee nor international search fee paid to USPTO and international Search Report not prepared by the EPO or JPO \$1040.00					
International preliminary examination fee not paid to USPTO but International Search Report prepared by the EPO or JPO..... \$890.00					
International preliminary examination fee not paid to USPTO but International search fee paid to USPTO \$740.00					
International preliminary examination fee paid to USPTO but all claims did not satisfy provision of PCT Article 33 (1)-(4)..... \$710.00					
International preliminary examination fee paid to USPTO and all claims satisfied provision of PCT Article 33 (1)-(4)..... \$100.00					
ENTER APPROPRIATE BASIC FEE AMOUNT				\$ 890.00	
Surcharge of \$130.00 for furnishing the oath or declaration later than <input type="checkbox"/> 20- <input checked="" type="checkbox"/> 30 months from the earliest claimed priority date (37 CFR 1.492(e))				\$ 130.00	
CLAIMS	NUMBER FILED	NUMBER EXTRA	RATE		
Total Claims	15 - 20 =	0	X \$18.00	\$	
Independent Claims	1 - 3 =	0	X \$84.00	\$	
MULTIPLE DEPEND CLAIM(S) (if applicable)			+ \$280.00	\$	
TOTAL OF ABOVE CALCULATION -				\$ 1,020.00	
<input type="checkbox"/> Applicant claims small entity status. See 37 CFR 1.27. The fees indicated above are reduced by 1/2				+	
SUBTOTAL =				\$ 1,020.00	
Processing fee of \$130.00 for furnishing the English translation later than <input type="checkbox"/> 20 <input type="checkbox"/> 30 months from the earliest claimed priority date (37 CFR 1.492Z(f)).				\$	
TOTAL NATIONAL FEE =				\$ 1,020.00	
Fee for recording the enclosed assigned (37 CFR 1.21(h)). The assignment must be accompanied by an appropriate cover sheet (37 CFR 3.28, 3.31) \$40.00 per property +				\$	
TOTAL FEES ENCLOSED -				\$ 1,020.00	
				Amount to be refunded.	\$
				Charged.	\$
<input checked="" type="checkbox"/> A Check in the amount of \$1,020.00 to cover all fees is attached.					
<input type="checkbox"/> The Commissioner is hereby authorized to charge indicated fees and credit any overpayments to Deposit account No. 25-0120 in the name of Young & Thompson, as described below. A duplicate copy of this sheet is enclosed.					
<input checked="" type="checkbox"/> The Commissioner is hereby authorized in this, concurrent, and future replies, to charge payment or credit any overpayment to Deposit Account No. 25-0120 for any additional fee required under 37 C.F.R. §§ 1.16 or 1.17.					
SEND ALL CORRESPONDENCE TO. 745 South 23 rd Street Arlington, VA 22202 Telephone (703) 521-2297 Y&T Customer No. 000466			 00466 PATENT TRADEMARK OFFICE		
BC/ia Date: April 8, 2002			SIGNATURE <u><i>Benoit Castel</i></u> NAME <u>Benoit Castel</u> REGISTRATION NO. <u>35,041</u>		

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PATENT
2001-1028

IN THE U.S. PATENT AND TRADEMARK OFFICE

In re application of: Marinus Gerardus Cornelis KIVITS et al.

Appl. No.: **NEW NATIONAL PHASE
APPLICATION IN THE
UNITED STATES** Group:

Filed: April 8, 2002 Examiner:

For: PROCESS FOR OBTAINING GROWTH FACTOR
PREPARATIONS (TGF-BETA AND IGF-1) FROM
MILK PRODUCTS HAVING LOW MUTUAL CROSS-
CONTAMINATION

PRELIMINARY AMENDMENT

Assistant Commissioner for Patents
Washington, DC 20231

April 8, 2002

Sir:

Prior to the first Official Action and calculation of the filing fee, the following preliminary amendments and remarks are respectfully submitted in connection with the above-identified application.

IN THE CLAIMS:

Please substitute claims 1-17 as originally filed, which appear on pages 13-14, with claims 1-15 as filed in the Article 34 amendment of December 24, 2001. The pages containing claims 1-15 are marked "AMENDED SHEET" and are attached hereto.

Following the insertion of claims 1-15, please amend the claims as follows:

Please amend the claims as follows:

4. (amended) Process according to claim 2, wherein the eluent for obtaining fraction iii) is a phosphate buffer having a pH of 5.5 to 8 and a phosphate concentration of 0.3 to 0.5 M.
5. (amended) Process according to claim 1, wherein step a) is carried out by passing the milk product at a high surface velocity and a high liquid load through a column packed with the cationic exchange resin.
6. (amended) Process according to claim 1, wherein the milk product is any mammalian milk, preferably milk from which fat has been removed.
8. (amended) Product obtainable with the process according to claim 1, which contains TGF- β in the substantial absence of IGF-1, wherein the ratio TGF- β to IGF-1 is greater than 5 and which contains 30 to 50 % immunoglobulins on protein.
11. (amended) Product obtainable with the process according to claim 1, which contains IGF-1 in the substantial absence of TGF- β , wherein the ratio IGF-1 to TGF- β is greater than 10 and which contains 30 to 50 % immunoglobulins on protein.
14. (amended) Product obtainable with the process according to claim 2, which contains lactoperoxidase with an activity of at least 1200 Units/mg.

Docket No. 2001-1028

15. (amended) Product according to claim 8, containing binding factors for the growth factors, which can be released upon acidification.

REMARKS

Claims 1-15 have been amended to eliminate multiple dependencies.

The substitution of claims 1-15 has been done to merely place this national phase application in into the same condition as it was during Chapter II of the International Phase.

Entry of the above amendments is earnestly solicited. An early and favorable first action on the merits is earnestly requested.

Should there be any matters that need to be resolved in the present application, the Examiner is respectfully requested to contact the undersigned at the telephone number listed below.

Attached hereto is a marked-up version of the changes made to the claims by the current amendment. The attached page is captioned "VERSION WITH MARKINGS TO SHOW CHANGES MADE."

The Commissioner is hereby authorized in this, concurrent, and future replies, to charge payment or credit any overpayment to Deposit Account No. 25-0120 for any additional fees required under 37 C.F.R. § 1.16 or under 37 C.F.R. § 1.17.

Respectfully submitted,

YOUNG & THOMPSON



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BC/ia
Attachments

VERSION WITH MARKINGS TO SHOW CHANGES MADE

IN THE CLAIMS:

The claims have been amended as follows:

4. Process according to claim ~~2-or-3~~, wherein the eluent for obtaining fraction iii) is a phosphate buffer having a pH of 5.5 to 8 and a phosphate concentration of 0.3 to 0.5 M.
5. Process according to ~~any of claims 1 to 4~~, claim 1, wherein step a) is carried out by passing the milk product at a high surface velocity and a high liquid load through a column packed with the cationic exchange resin.
6. Process according to ~~any of claims 1 to 5~~, claim 1, wherein the milk product is any mammalian milk, preferably milk from which fat has been removed.
8. Product obtainable with the process according to ~~any of claims 1 to 7~~, claim 1, which contains TGF- β in the substantial absence of IGF-1, wherein the ratio TGF- β to IGF-1 is greater than 5 and which contains 30 to 50 % immunoglobulins on protein.
11. Product obtainable with the process according to ~~any of claims 1 to 7~~, claim 1, which contains IGF-1 in the substantial absence of TGF- β , wherein the ratio IGF-1 to TGF- β is greater than 10 and which contains 30 to 50 % immunoglobulins on protein.
14. Product obtainable with the process according to claim ~~2-or-4~~, which contains lactoperoxidase with an activity of at least 1200 Units/mg.

Docket No. 2001-1028

15. Product according to ~~any of claims 8 to 13,~~claim 8,
containing binding factors for the growth factors, which can
be released upon acidification.

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24. 12. 2001

Amended claims

(42)

1. Process for extracting transforming growth factor β (TGF- β) and insulin-like growth factor 1 (IGF-1) from a milk product, comprising the steps of
 - a) recovering a basic fraction from the milk product by means of cationic exchange chromatography;
 - b) passing the fraction obtained in step a) over a hydroxyapatite column;
 - c) eluting the hydroxyapatite column sequentially with at least two eluents of increasing salt concentration or pH, said eluents being selected from phosphate buffers, sodium chloride solutions and potassium chloride solutions to obtain two separate fractions:
 - i) a fraction comprising IGF-1, wherein the ratio IGF-1 to TGF- β is greater than 10;
 - ii) a fraction comprising TGF- β , wherein the ratio TGF- β to IGF-1 is greater than 5.
2. Process according to claim 1, further comprising step
 - d) eluting the hydroxyapatite column with an eluent having increased salt content or pH as compared to the eluent used in step c), said eluent being selected from phosphate buffers, sodium chloride solutions and potassium chloride solutions to obtain
 - iii) a fraction comprising lactoperoxidase.
3. Process according to claim 1, wherein the eluent for obtaining fraction i) is a phosphate buffer having a pH of 5.5 to 7 and a phosphate concentration of 0.05 to 0.2 M and the eluent for obtaining fraction ii) is a phosphate buffer having a pH of 5.5 to 7 and a phosphate concentration of 0.2 to 0.3 M.
4. Process according to claim 2 or 3, wherein the eluent for obtaining fraction iii) is a phosphate buffer having a pH of 5.5 to 8 and a phosphate concentration of 0.3 to 0.5 M.

5. Process according to any of claims 1 to 4, wherein step a) is carried out by passing the milk product at a high surface velocity and a high liquid load through a column packed with the cationic exchange resin.
6. Process according to any of claims 1 to 5, wherein the milk product is any mammalian milk, preferably milk from which fat has been removed.
7. Process according to claim 6, wherein the milk product is cheese whey.
8. Product obtainable with the process according to any of claims 1 to 7, which contains TGF- β in the substantial absence of IGF-1, wherein the ratio TGF- β to IGF-1 is greater than 5 and which contains 30 to 50 % immunoglobulins on protein.
9. Product according to claim 8, wherein the ratio TGF- β to IGF-1 is greater than 50.
10. Product according to claim 9, which contains more than 200 μg TGF- β per gram protein and less than 40 μg IGF-1 per gram protein.
11. Product obtainable with the process according to any of claims 1 to 7, which contains IGF-1 in the substantial absence of TGF- β , wherein the ratio IGF-1 to TGF- β is greater than 10 and which contains 30 to 50 % immunoglobulins on protein.
12. Product according to claim 11, wherein the ratio IGF-1 to TGF- β is greater than 100.
13. Product according to claim 12, which contains more than 50 μg IGF-1 per gram protein and less than 10 μg TGF- β per gram protein.
14. Product obtainable with the process according to claim 2 or 4, which contains lactoperoxidase with an activity of at least 1200 Units/mg.

15. Product according to any of claims 8 to 13, containing binding factors for the growth factors, which can be released upon acidification.

PROCESS FOR OBTAINING GROWTH FACTOR PREPARATIONS (TGF-BETA AND IGF-1) FROM MILK PRODUCTS HAVING LOW MUTUAL CROSS-CONTAMINATION

5

The present invention relates to a process for obtaining a fraction comprising transforming growth factor β (TGF- β) in substantial absence of insulin-like growth factor (IGF-1) and a fraction comprising IGF-1 in substantial absence of TGF- β from milk products (milk or whey).

10

It has been known for some time that milk products contain growth factors that can have a beneficial activity. These growth factors are present in very low concentrations in the milk product, which is why they are sometimes referred to as micronutrients. They can be characterised by their isoelectric point, which is relatively high compared to other milk proteins and their molecular weight. The present invention in particular concerns the growth factors TGF- β and IGF-1.

15

20

TGF- β is a multifunctional protein found in all mammalian tissues. Currently, five forms of TGF- β are known, $\beta 1$ to $\beta 5$. It has been implicated in the development, differentiation and growth of tissue and the control of immune system function and carcinogenesis. TGF- β can be isolated from natural sources (e.g. blood platelets), mammalian milk or colostrum or can be produced by recombinant cells.

25

IGF-1, an anabolic, i.e. growth promoting, growth factor, is a small protein (molecular weight about 7800) which plays an important role in bone metabolism. It has been shown to stimulate growth of cells in culture. Animal growth is also stimulated in pituitary deficient, normal and catabolic states. Kidney function is also improved. It can be produced using recombinant DNA technology, solid phase peptide synthesis, by isolating it from blood serum or from mammalian milk, e.g. bovine or human milk.

30

As described above, it is known that IGF-1 and TGF- β can be extracted from milk products such as milk or whey. However, with the methods that have been applied up to now, using an economically feasible process without many purification steps, it was only possible to obtain

a mixture of these growth factors. For some uses, more in particular certain therapeutical applications it has been found that it is preferred to use an IGF-1 rich fraction essentially free of TGF- β and a TGF- β fraction essentially free of IGF-1.

5 An example of such a therapeutical use is that described in a copending application in the name of the Applicants. This document describes the use of TGF- β for preparing a pharmaceutical composition for preventing damage of the intestinal mucosa as a result of chemotherapy or radiotherapy. In this case it has been found that IGF-1 interferes with the activity of TGF- β . According to this application it is therefore necessary to supply TGF- β in
10 the substantial absence of IGF-1 to the patient. Up to now such relatively pure TGF- β was only available from recombinant DNA techniques or by an economically unfeasible process for the isolation from milk (multiple step isolation, US5221734). These products are rather expensive and would make the treatment mentioned above inaccessible for large groups of patients.

15

WO 9200994 and WO 9529933 describe processes for isolating a plurality of growth factors from milk or whey. As described above, it is not always desired to have a mixture of growth factors, because some growth factors can have a negative effect on the activity of other growth factors. WO 9529933 further has the disadvantage that an acidification is applied.
20 This results in separation of the growth factors from the binding proteins and also inactivates lactoperoxidase. The binding factors help survive the growth factors during passage in the intestine, where digestive enzymes may degrade the growth factors resulting in (partial) loss of activity.

25 EP 489884 describes a process for obtaining a mixture of growth factors from colostrum by cationic exchange chromatography followed by adsorption chromatography on hydroxyapatite, recovering the fraction retained on the hydroxyapatite. It is described that by this method more than 50 % of all the growth factors is isolated. This document only refers to a mixture of growth factors and gives no clue to how the much higher level of
30 immunoglobulins and the virtual absence of lactoperoxidase, as compared to milk and/or whey, influence the amount and the mutual contamination of IGF-1 and TGF- β in enriched growth factor preparations. Moreover, this document does not clarify whether the growth factors are still bound to binding factors.

US 5221734 describes a process to isolate a Milk Growth Factor (MGF) from milk or whey. This process requires many steps, including ionic exchange chromatography (IEC), hydrophobic interaction chromatography (HIC) and size exclusion chromatography, resulting
5 in low yields of TGF- β . This makes this process economically unfeasible.

WO 9526984 relates to a process that includes a step wherein the milk product is heated to denature the lactoperoxidase. Thereafter the lactoperoxidase is separated from the composition, which increases the efficiency of the final purification of the growth factors.
10 However, it is preferable to separate native lactoperoxidase, for commercial application as a natural preservative. Furthermore, it is desirable to increase the specific activity of the lactoperoxidase remaining after isolation of the growth factors.

It is an object of the present invention to provide a process for isolating TGF- β and IGF-1 from a milk product as relatively pure fractions (i.e. high proportion of one growth factor relative to the other growth factor) achieving a high yield of growth factors. It is a further object of the invention to provide these growth factors in a form which is suitable for oral administration. It is a further object of the invention to recover TGF- β and IGF-1 from milk products as relatively pure fractions and simultaneously recover native lactoperoxidase in a
20 high yield.

According to the present invention, a process has been found to separate fractions rich in growth factors and containing binding factors, and at the same time produce a lactoperoxidase fraction with a high activity. The present invention relates to a process for extracting
25 transforming growth factor β (TGF- β) and insulin-like growth factor 1 (IGF-1) from a milk product, comprising the steps of

- a) recovering a basic fraction from the milk product by means of cationic exchange chromatography;
- b) passing the fraction obtained in step a) over a hydroxyapatite column;
- 30 c) eluting the hydroxyapatite column with appropriate eluents in such a way as to obtain two separate fractions, these fractions being
 - i) a fraction comprising IGF-1 in the substantial absence of TGF- β ;
 - ii) a fraction comprising TGF- β in the substantial absence of IGF-1.

These steps can be followed by a further elution step d) wherein the hydroxyapatite column is eluted with an appropriate eluent in such a way as to obtain

- iii) a fraction comprising lactoperoxidase.

5

The milk product which is used as a starting material for the present invention can be any mammalian milk or a milk derivative that contains growth factors, such as cheese whey or casein whey. Preferably bovine milk or milk derivative is used. The milk can be subjected to a pretreatment such as mild pasteurization, and/or defatted using a centrifuge or a microfiltration step.

10

Preferably, the starting material is first subjected to a minimal heat treatment. This is advantageous because

- 1) in such a heat treatment a considerable proportion of the bacteria naturally occurring in milk are killed and
- 2) the denaturation of lactoperoxidase and other milk serum proteins is minimized.

15

A minimal heat treatment is understood to mean heating to 80 °C at the most, for not more than a few seconds.

Further, it is highly advantageous to strip the starting material of fat before subjecting it to the adsorption and elution steps. It has been found that after fat removal the column in which the cationic exchange resin is contained hardly becomes greased or clogged up during the step of adsorption to the cationic exchange resin. This prevents undue pressure build up in the column and unfavourable shortening of the adsorption cycles.

20

It is preferred to remove fat by microfiltration because this effects at the same time the reduction of the microbial contamination of the starting material. In this connection, microfiltration is understood to mean filtration with a filter having openings between 0.1 and 10 µm.

25

The cationic exchange resin used in step a) can be of any suitable type known in the field. It is preferred to use a cationic exchange resin of a mean particle size in excess of 100 µm and of a sufficient mechanical strength to resist high pressures. This has the advantage that the cationic

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exchange resin is resistant to high liquid loads, while the binding capacity is maintained. This makes it possible to process large amounts of liquid in short time, which is required for an industrially applicable process. Examples of suitable cationic exchange resins are S-Ceramic Hyper D, SP-Toyopearl, SP-Sepharose FastFlow and SP-Sepharose Big Beads.

5

Preferably the cationic exchange resin is equilibrated by buffering with a phosphate buffer of a pH value of 5.5 to 7.5. Then the milk product is passed through a column with the cationic exchange resin, for instance by pumping, whereby microcomponents adsorb from the starting material onto the cationic exchange resin. To prevent microbial growth, these processes are normally carried out at a temperature of 4 to 7 °C. However, the viscosity at this temperature leads to an unacceptable pressure build-up. Therefore, the adsorption is preferably carried out at a temperature of 15 to 20 °C to lower the viscosity of the milk or milk derivative, whilst maintaining a relatively hygienic condition.

15 According to a preferred embodiment the starting material is pumped at a high surface velocity (more than 500 cm per hour) and at a high liquid load (100-600 bed volumes per hour) over a cationic exchange resin having a mean particle size of 100-300 µm, as described in US 5,596,082. According to this embodiment a process is realised which is highly favourable from an economic point of view, having outstanding industrial applicability.

20

After the adsorption step, it is preferred to rinse the cationic exchange resin column of any residual milk product (starting material) by washing with a salt (NaCl) solution buffered at a pH between 5.5 and 7.5 and having a salt concentration of 0.15 molar or less.

25 After adsorption of the desired components onto the ionic exchange resin, an elution step is carried out. Preferably the components are eluted with a salt solution buffered at a pH between 5.5 and 7.5, preferably at a pH of about 6.5. As the salt preferably sodium chloride or potassium chloride is used, but also other salts e.g. ammonium acetate can be used. This results in a fraction containing the desired TGF-β, IGF-1 and lactoperoxidase.

30

In step b) of the process the fraction obtained after ionic exchange chromatography is passed over a hydroxyapatite column. Hydroxyapatite is a crystallized tricalcium phosphate which is used as a substrate for the absorption of proteins. Industrially applicable hydroxyapatite resins

are Macroprep Ceramic Hydroxyapatite from Biorad and HA Ultrogel from Biosepra. Hydroxyapatite has unique separation characteristics due to both phosphate and calcium that can act as ligands. Only recently, hydroxyapatite material that can be applied on production scale became available. It is now used in several production scale protein recovery/purification processes.

According to this step of the present invention the milk fraction obtained in step a) is passed through the hydroxyapatite column, for instance by pumping, whereby microcomponents adsorb from the starting material onto the hydroxyapatite. The adsorption is preferably carried out at a pH greater than 5.5 and a phosphate concentration of 5 to 100 mmole/l.

After the absorption step the hydroxyapatite column is eluted sequentially with suitable eluting liquids. Possible eluents are phosphate buffers, sodium chloride and potassium chloride solutions. For the different fractions these eluents must have an increasing salt concentration. It is also possible to apply an increasing pH gradient. Other possible eluents are known to the person skilled in the art. It is preferred that the overall concentration range of the salt solutions used is between 0.01 to 1.0 M.

According to the invention, to obtain an IGF-1 enriched fraction the column is typically eluted with a phosphate buffer having a pH of 5.5 to 7 and a phosphate concentration of 0.05 to 0.2 M, preferably a pH of 6.0 and a phosphate concentration of 0.15 M. To obtain a TGF- β enriched fraction the column is subsequently eluted with a phosphate buffer having a pH of 5.5 to 7 and a concentration of 0.2 to 0.3 M, preferably a pH of 6.0 and a concentration of 0.25 M.

Overall, the present process results in a recovery of both IGF-1 and TGF- β of about 25 to 50 % compared to the amounts present in the starting material.

In a preferred embodiment of the invention a further elution step is carried out to recover a lactoperoxidase fraction. According to this embodiment the hydroxyapatite column is eluted with a phosphate buffer having a pH of 5.5 to 8 and a phosphate concentration of 0.3 to 0.5 M, preferably a pH of 7 and a phosphate concentration of 0.5 M. This results in a native

lactoperoxidase fraction with a high activity, which is an additional benefit of the present invention.

The fractions obtained according to the present invention can be separated further into their
5 respective components by means of known methods. Examples of separation methods that can be used are ionic exchange chromatography, hydrophobic interaction chromatography and size exclusion chromatography.

The final products can be treated further by techniques known in the art, to remove salt
10 therefrom and/or to concentrate them. For salt removal for instance ultrafiltration or gel filtration can be used. For concentrating the fractions can be lyophilised or spraydried.

The present invention also relates to the different fractions of growth factors obtained with the present process. The invention thus also comprises a product containing a TGF- β rich fraction
15 essentially free of IGF-1, wherein the ratio TGF- β to IGF-1 is greater than 5, preferably greater than 50. This product in particular contains more than 200 μg TGF- β per gram protein and less than 40 μg IGF-I per gram protein, as determined by ELISA (Enzyme Linked Immuno Sorbent Assay). Generally, these fraction will contain 2000 μg TGF- β per gram protein at the most.

The invention further comprises a product containing an IGF-1 rich fraction essentially free of
20 TGF- β , wherein the ratio IGF-1 to TGF- β is greater than 10, preferably greater than 100. This product in particular contains more than 50 μg IGF-1 per gram protein, and less than 10 μg TGF- β per gram protein. Typically, such a product contains 500 μg IGF-1 per gram protein at
25 the most.

As described before, when applying a final extraction step a product can be obtained containing lactoperoxidase having at least 1200 Units per mg, as determined with the ABTS method, essentially according to Shindler et al. (1976), European Journal of Biochemistry 65,
30 325 - 331.

The IGF-and TGF-fractions further contain about 30 to 50 % immunoglobulins on protein. Their main function is to interact with harmful micro-organisms such as bacteria. This

prevents the micro-organism from entering the blood circulation system. This situation in particular occurs when the intestinal mucosa of the patient has been damaged as a result of treatment with chemotherapy.

5 The immunoglobulins can be isolated from milk of mammals which have been hyperimmunised against certain pathogens or they can be isolated from normal bovine milk or whey. With the present process, using normal cow's milk as a starting material, a preparation is obtained rich in immunoglobulins, comprising IgG and IgA. 30 to 50 % of the protein fraction consists of immunoglobulins of the type IgG and IgA.

10

The TGF- β and IGF-1 fractions obtained according to the invention contain binding factors which are released upon acidification. Thus the latent and active forms of both growth factors may be determined by e.g performing a growth factor specific ELISA in the presence or absence of an acid treatment of the sample, respectively. The binding factors fulfil a role in the modulation of the growth factor activity and may protect the growth factors during

15 passage through the gastrointestinal tract

The IGF-and TGF-fractions obtained according to the invention can be used for several purposes, one of which is the use during chemotherapy and radiotherapy for treatment and/or

20 prevention of damage to the intestinal mucosa.

The present invention is further illustrated by means of the following examples and Figure 1 which shows the identification of immunoglobulins in an IGF-1 rich fraction.

In the examples the following methods were used to analyse the products obtained.

25

Test kits for the determination of TGF- β and IGF-1 are commercially available. Test kit used: Quantikine® for determination of human TGF- β from R&D Systems.

TGF- β is determined using a quantitative sandwich enzyme immunoassay technique (ELISA).

30 A monoclonal antibody specific for human TGF- β 2 has been pre-coated onto a microplate. Human and bovine TGF- β are identical so that the antibody will detect the bovine form. Standards and samples are pipetted into the wells and any TGF- β present is bound by the immobilized antibody. Prior to this step, since the TGF- β in milk is present in a latent form, it

is first activated by an acid treatment to determine the total TGF- β concentration. This activation step is left out to determine the amount of active TGF- β .

After washing away any unbound substances, an enzyme-linked polyclonal antibody specific for TGF- β 2 is added to the wells. Following a wash to remove any unbound antibody-enzyme reagent, a substrate solution is added to the wells and colour develops in proportion to the amount of TGF- β 2 bound in the initial step. The colour development is stopped and the intensity of the colour measured.

TGF- β in samples is expressed as $\mu\text{g/g}$ protein.

IGF-1: test kit used: IGF-1 ELISA DSL-10-2800 from Diagnostic Systems Laboratories, Inc.

IGF-1 is also determined by an enzymatically amplified "two-step"sandwich-type immunoassay similar to TGF- β . Samples, controls and prediluted unknowns are incubated in microtitration wells which have been coated with anti-IGF-1 antibody. IGF-1 in milk can be bound to binding proteins, and therefore, an activation step using acid similar to TGF- β is used when determining total IGF-1 concentration. The amount of free IGF-1 is determined when the activation step is left out.

IGF-1 in samples is expressed as $\mu\text{g/g}$ protein protein.

Protein

Protein in samples is determined with the Bradford method using Lactoferrin to make the standard curve.

Example 1: Isolation of IGF-1, TGF- β and lactoperoxidase from milk

An ion exchange chromatography (IEC) column having a diameter of 10cm was packed with 1L of a strong cation exchanger (SP Sepharose Big Beads, Pharmacia). The column was preconditioned using a phosphate buffer (pH 6.5 0.025 M phosphate). The fat fraction of the milk was removed by means of centrifugation and 360L of the resulting skim milk was passed over the column at room temperature at a flow rate of 100BVH (Bed Volumes per Hour). The column was washed with 5L of a 0.10M NaCl pH6.5 solution. The adsorbed proteins were then fractionated by subsequently eluting the column with:

a) 5L of a 0.24M NaCl solution, pH6.5

b) 5L of a 1.00M NaCl solution, pH6.5

Fraction a) contains predominantly Lactoperoxidase and is rich in IGF-1 and TGF- β . Fraction b) is rich in angiogenin and lactoferrin. According to the results, fraction a) contains 9 g protein, including 7 g LP, 200 μ g IGF-1 and 1000 μ g TGF- β . Then the eluted fraction a) is diluted 20 fold and loaded onto a column containing 0.5L Hydroxyapatite (Biorad ceramic HAP type I, 40 μ m). at 15BVH. The column is washed with a buffer containing 60mM phosphate pH 6.0. The adsorbed proteins were then fractionated by subsequently eluting the column with:

c) 0.15M phosphate pH 6.0

d) 0.25M phosphate pH 6.0

e) 0.50M phosphate pH 7.0

Fraction c) contains 100 μ g IGF-1 (150 μ g /g protein) and is low in TGF- β (1 μ g TGF- β /g protein). Fraction d) contains 660 μ g TGF- β (1000 μ g/g protein) and is low in IGF-1 (5 μ g IGF-1/g protein). Fraction e) contains 7g LP (1200 Units/mg).

Example 2: Isolation of IGF-1, TGF- β and lactoperoxidase from cheese whey

800 L microfiltered cheese whey were loaded onto 1L of SP Sepharose Big Beads at 150BVH. After washing the column with 5L of a 0.10M NaCl pH6.5 solution. The adsorbed proteins were fractionated by subsequently eluting the column with:

f) 5L of a 0.24M NaCl solution, pH6.5

g) 5L of a 1.00M NaCl solution, pH6.5

Fraction f) contains predominantly Lactoperoxidase and is rich in IGF-1 and TGF- β . Fraction g) is rich in angiogenin and lactoferrin. According to the results, fraction f) contains 8 g protein, including 6 g LP, 170 μ g IGF-1 and 150 μ g TGF- β . Then the eluted fraction is diluted 20 fold and charged onto a column containing 0.5L Hydroxyapatite (Biorad ceramic HAP type I, 40 μ m). at 15BVH. The column is washed with a buffer containing 60mM phosphate pH 6.0. The adsorbed proteins were then fractionated by subsequently eluting the column with:

h) 0.15M phosphate pH 6.0

i) 0.25M phosphate pH 6.0

j) 0.50M phosphate pH 7.0

Fraction h) contains 80µg IGF-1 (120µg /g protein) and is low in TGF-β (<1µg TGF-β/g protein). Fraction i) contains 100µg TGF-β (600µg/g protein) and is low in IGF-1 (8µg IGF-1/g protein). Fraction j) contains 6.5g LP (1200 Units/mg).

5 **Example 3: Isolation of IGF-1, TGF-β and lactoperoxidase from milk using different IEC elution conditions**

The purity of the IEC fractions can be further increased by eluting the column under more stringent conditions.

Under identical conditions to those described in example 1, an IEC column was loaded with
10 skim milk. The column was washed with a 5L of a 0.15M NaCl/10mM ammoniumacetate pH 5.5 solution. The growth factor rich fraction was then eluted by passing 3.5L of a 0.28M NaCl/10mM ammoniumacetate pH 5.5 solution over the column.

Although the yield of growth factors and lactoperoxidase in this step is slightly lower, the specific activity of the growth factors present in this fraction is higher versus the fraction
15 obtained with the conditions as described in example 1, i.e. 40µg IGF/g protein and 180µg TGF/g protein.

Example 4: Isolation of IGF-1, TGF-β and lactoperoxidase from milk using different hydroxyapatite elution conditions

20 The fractions bound on the hydroxyapatite column can also be separated using other elution conditions.

Under identical conditions to those described in example 1, the IEC eluate was loaded on the hydroxyapatite column and the hydroxyapatite column was washed with a buffer containing 0.12M NaCl/25mM phosphate pH7.0. The IGF-1 rich fraction was then eluted with a buffer
25 containing 0.20M NaCl/25mM phosphate pH7.0 and thereafter the TGF-β rich fraction was obtained by eluting the column with a buffer containing 0.35M NaCl/25mM phosphate pH7.0. Then the lactoperoxidase was obtained by passing a solution containing 1M NaCl/25mM phosphate over the column.

The IGF-1 rich fraction contained 80µg IGF-1 (120µg/g protein) and is low in TGF-β (3µg
30 TGF-β/g protein). The TGF-β rich fraction contained 500µg TGF-β (1100µg/g protein) and is low in IGF-1 (1µg/g protein). According to this method 6.5g LP was obtained.

Example 5: Identification of Immunoglobulins in IGF-1 rich fraction

The product resulting from Example 1 was evaluated by SDS Page to identify and quantify immunoglobulins (see figure 1). A 15% polyacrylamide gel was run under reducing and denaturing conditions using Phastsystem equipment (Pharmacia).

5 Lane 1: IEC fraction.

Lane 2: bovine IgG.

Lane 3: IGF-1 rich fraction. LP: Lactoperoxidase; IgH: heavy chain of IgG; IgL: light chain of IgG.

The protein band denoted RNase was identified by N-terminal sequencing.

10

From the figure it can be seen that the IGF-1 rich fraction in lane 3 does not contain any LP. Based on the color intensities of the bands, the immunoglobulin concentration in this sample is between 30 and 50%. The other major protein component was identified as RNase.

15 **Example 6 : Determination of latent and active forms of growth factors**

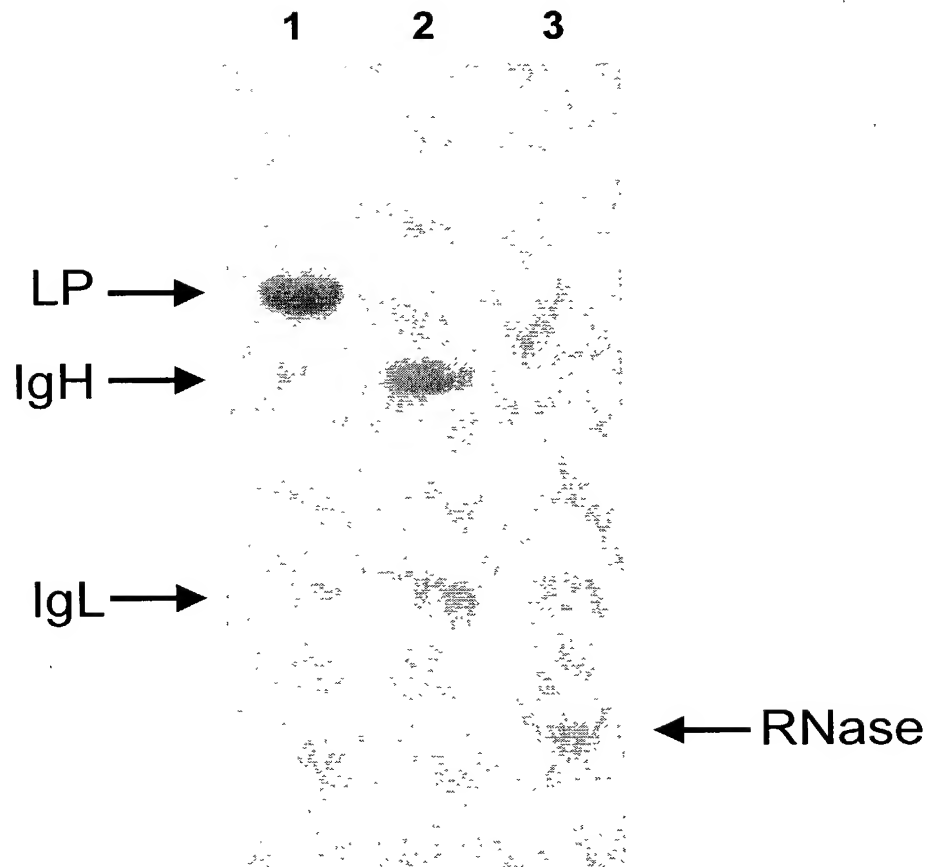
Starting from milk , fractions were obtained after subsequent elutions over a cationic exchange and a hydroxyapatite column. These fractions were freeze-dried , solubilized in an appropriate buffer and then assayed with ELISA, essentially as described in the preceding text.

20 Part of the sample was used as is and part was acidified according to the testkit instructions. Protein in the samples was determined with the Bradford assay using lactoferrin as the calibration protein. The IGF-1 enriched fraction contained as is 75 microgram IGF-1/ g protein and after acidification 175 microgram IGF-1/ g protein. This means that 43% of the total IGF-1 activity is scored as free IGF-1 and 57% of the total IGF-1 activity is bound to
25 binding proteins. By analogy, the TGF- β enriched fraction contained as is 7 microgram TGF- β / g protein, whereas upon acidification 540 microgram TGF- β / g protein was found. This demonstrates that almost 99% of TGF- β was present in the latent form.

ABSTRACT OF THE DISCLOSURE

A process for extracting transforming growth factor β (TGF- β) and insulin-like growth factor 1 (IGF-1) from a milk product, includes the steps of

- a) recovering a basic fraction from the milk product by cationic exchange chromatography;
- b) passing the fraction obtained in step a) over a hydroxyapatite column;
- c) eluting the hydroxyapatite column with appropriate eluents in such a way as to obtain two separate fractions, these fractions being
 - i) a fraction comprising IGF-1 in the substantial absence of TGF- β ;
 - ii) a fraction comprising TGF- β in the substantial absence of IGF-1.



COMBINED DECLARATION AND POWER OF ATTORNEY

(ORIGINAL DESIGN, NATIONAL STAGE OF PCT OR CIP APPLICATION)

As a below named inventor, I hereby declare that

My residence, post office address and citizenship are as stated below next to my name, I believe I am the original, first and sole inventor (if only one name is listed below) or an original, first and joint inventor (if plural names are listed below) of the subject matter which is claimed and for which a patent is sought on the invention entitled:

Process for obtaining growth factor preparations (TGF-Beta and IGF-1) from milk products having low mutual cross-contamination

the specification of which: (complete (a), (b) or (c) for type of application)

REGULAR OR DESIGN APPLICATION

- a. ☐ is attached hereto.
b. ☐ was filed on _____ as Application
Serial No. _____ and was amended on _____
(if applicable)

PCT FILED APPLICATION ENTERING NATIONAL STAGE

- c. ☒ was described and claimed in International application No. PCT/NL99/00621
filed on 6 October 1999
and as amended on _____ (if any)

ACKNOWLEDGEMENT OF REVIEW OF PAPERS AND DUTY OF CANDOR

I hereby state that I have reviewed and understand the contents of the above identified specification, including the claims, as amended by any amendment referred to above.

I acknowledge the duty to disclose information which is material to patentability as defined in Title 37, Code of Federal Regulations, paragraph 1.56(a).

In compliance with this duty there is attached an information
disclosure statement 37 CFR 1.97

PRIORITY CLAIM

I hereby claim foreign priority benefits under Title 35, United States Code paragraph 119 of any foreign application (s) for patent of inventor's certificate listed below and have also identified below any foreign application for patent of inventor's certificate having a filing date before that of the application on which priority is claimed.

(complete (d) or (e))

- d. ☒ no such applications have been filed
e. ☐ such applications have been filed as follows

**EARLIEST FOREIGN APPLICATION(S), IF ANY FILED WITHIN 12 MONTHS
(6 MONTHS FOR DESIGN) PRIOR TO SAID APPLICATION**

Country	Application Number	Date of filing (day, month, year)	Date of Issue (day, month, year)	Priority claimed

**ALL FOREIGN APPLICATION(S), IF ANY FILED MORE THAN 12 MONTHS
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CONTINUATION-IN-PART

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I hereby declare claim the benefit under Title 35, United States code, paragraph 120 of any United States application(s) listed below and, insofar as the subject matter of each of the claim of this application is not disclosed in the prior United States application in the manner provided by the first paragraph of Title 35, United States Code, paragraph 112, I acknowledge the duty to disclose material information as defined in Title 37, Code of Federal Regulations, paragraph 1.56(a) which occurred between the filing date of the prior application and the national or PCT international filing date of this application:

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(Application Serial No.) (Filing date) (Status) (patented, pending, abandoned)

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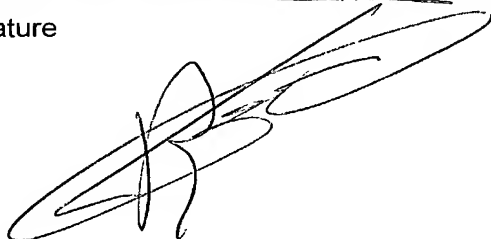
As a named inventor, I hereby appoint the following attorney(s) to prosecute this application and transact all business in the Patent and Trademark Office connected therewith: Robert J. PATCH, Reg. No. 17,355, Andrew J. PATCH, Reg. No. 32,925, Robert F. HARGEST, Reg. No. 25,590, Benoît CASTEL, Reg. No. 35,041, Eric Jensen, Reg. No. 37,855, and Thomas W. PERKINS, Reg. No. 33,027 and Roland E. Long, Jr. Reg. No. 41,949 c/o YOUNG & THOMPSON, Second Floor, 745 South 23rd Street, Arlington, Virginia 22202.

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I hereby declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that wilful false statements and the like so made are punishable by fine or imprisonment, or both under Section 1001 of Title 18 of the United States Code and that such wilful false statements may jeopardize the validity of the application or any patent issued thereon.

Full name of sole or first inventor: KIVITS, Marinus Gerardus Cornelis

Inventor's signature

1-00


Date 10 April 2002

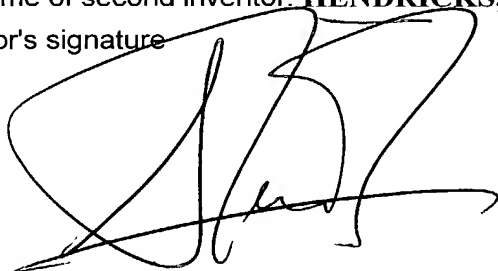
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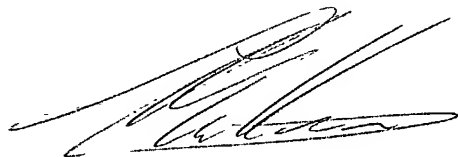
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